



Office of Enterprise Compliance

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November 17, 2008

AGENDA ITEM 5

TO: MEMBERS OF THE FINANCE COMMITTEE

I. SUBJECT: Office of Enterprise Compliance Quarterly Report

II. PROGRAM: Compliance

III. RECOMMENDATION: Information Only

IV. ANALYSIS:

The attached Quarterly Status Report for the third quarter of 2008 provides an update of the Annual Plan from the Office of Enterprise Compliance.

V. STRATEGIC PLAN:

This item is not a specific product of the Strategic Plan but is part of the regular and ongoing workload planned for the Office of Enterprise Compliance.

VI. RESULTS/COSTS:

N/A

VII. ATTACHMENTS

The following attachment is included in this agenda item:

Attachment A - Office of Enterprise Compliance Quarterly Status Report

DEBBIE BENNETT
Assistant Chief
Office of Enterprise Compliance

SHERRY A. JOHNSTONE
Chief Compliance Officer

PETER H. MIXON
General Counsel

Attachments